

## FORMALITIES LETTER



\*OC00000005171886\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/544,795	04/07/2000	Mark Baugher	4698.P003

Robert A Diehl  
 Blakely Sokoloff Taylor & Zafman LLP  
 12400 Wilshire Boulevard  
 7th Floor  
 Los Angeles, CA 90025



Date Mailed: 06/12/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/16/2000 AWONDAF1 00000018 09544795

01 FC:105

130.00 0P



Sector \$

PTO/SB/21 (12/97)

Approved for use through 9/30/2006. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/544,795
		Filing Date	April 7, 2000
		First Named Inventor	Mark J. Baugher
		Group Art Unit	2771
		Examiner Name	
Total Number of Pages in This Submission	12	Attorney Docket Number	004698.P003

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; min-height: 40px;">           1) Request for Corrected Filing Receipt; and 2) Return Receipt Postcard.         </div>
<div style="border: 1px solid black; padding: 5px; height: 40px; margin-top: 10px;">           Remarks         </div>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jason K. Klindtworth, Reg. No. P47,211  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	August 8, 2000

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

August 8, 2000

Typed or printed name	Heather L. Adamson
Signature	
Date	August 8, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box

→ +

AUG 14 2000

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT**      (\$ )      130.00

## Complete if Known

Application No.	09/544,795
Filing Date	April 7, 2000
First Named Inventor	Mark J. Baugher
Examiner Name	
Group/Art Unit	2771
Attorney Docket Number	004698.P003

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees to:  
 The Commissioner is hereby authorized to credit any over payments to:

Deposit Account Number  
02-2666  
Deposit Account Name  
Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2.  Payment Enclosed:  
 Check     Money Order     Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)**      (\$ )

2. EXTRA CLAIM FEES	Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/> - <input type="text"/> = <input type="text"/>	X <input type="text"/> = <input type="text"/>	
Independent Claims	<input type="text"/> - <input type="text"/> = <input type="text"/>	X <input type="text"/> = <input type="text"/>	
Multiple Dependent			

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple Dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**      (\$ )

## 3. ADDITIONAL FEE

### Large Entity    Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,210	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid      **SUBTOTAL (3)**      (\$ )      130.00

## SUBMITTED BY

Typed or Printed Name	Jason K. Klindtworth	Complete (if applicable)
Signature		Reg. Number      P47,211

Date      08/08/00

Deposit Account User ID      02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MARK J. BAUGHER, ET AL.

Application No.: 09/544,795

Filed: April 7, 2000

For: **NETWORK CONTENT MANAGEMENT**



Assistant Commissioner for Patents

Attention: Box Missing Parts

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application mailed June 12, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplication of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Jason K. Klindtworth, Reg. No. P47,211

Dated: August 8, 2000

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: August 8, 2000.

Heather L. Adamson

08/08/00

Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Baugher, et al.

Application No.: 09/544,795

Filed: April 7, 2000

For: NETWORK CONTENT  
MANAGEMENT

Examiner: not yet assigned

Art Group: 2771

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: August 8, 2000Name of Person Mailing: Heather AdamsonSignature: Heather Adamson Date: 8/8/00

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Enclosed is a copy of the official Filing Receipt for the above-referenced patent application with errors noted thereon in red, as follows:

“Mark Baugher, Residence, NOT PROVIDED” should read --Mark J. Baugher, Portland, OR--.

“Stu Farnham, Residence, NOT PROVIDED” should read --Stu Farnham, Sandy, OR--.

“Steve Hemminger, Residence, NOT PROVIDED” should read --Stephen C. Hemminger, Portland, OR--.

“R. Al Marchall, Residence, NOT PROVIDED” should read --Robert A. Marshall, Portland, OR--.

“Mark Tait, Residence, NOT PROVIDED” should read --Mark Tait, Tigard, OR--.

Therefore, it is requested that the above errors be corrected and a new Filing Receipt be issued accordingly.

If any additional fee is required, please charge Deposit Account No. 02-2666. A Fee Transmittal is enclosed in duplicate for deposit account charging purposes.

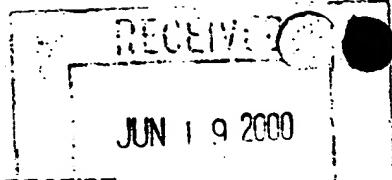
Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN, LLP

Date: 8/8, 2000

  
\_\_\_\_\_  
Jason K. Klindtworth  
Registration No. P47,211

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025  
(503) 684-6200

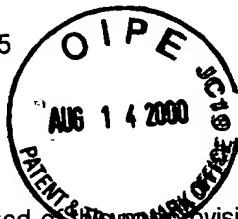
**FILING RECEIPT**

\*OC00000005171885\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/544,795	04/07/2000	2771	690	4698.P003	15	19	3

Robert A Diehl  
Blakely Sokoloff Taylor & Zafman LLP  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025

**RECEIVED**

JUN 19 2000

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
12400 WILSHIRE  
LOS ANGELES

Date Mailed: 06/12/2000

04698 P003  
R&D  
Passedge

Receipt is acknowledged of this ~~provisional~~ Provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Mark Baugher, Residence, NOT PROVIDED; Mark J. Baugher, Portland, OR  
Stu Farnham, Residence, NOT PROVIDED; Sandy, OR  
Steve Hemminger, Residence, NOT PROVIDED; Stephen C. Hemminger, Portland, OR  
R. Al Marchall, Residence, NOT PROVIDED; Robert A. Marshall, Portland, OR  
Mark Tait, Residence, NOT PROVIDED; Tigard, OR

**Continuing Data as Claimed by Applicant****Foreign Applications****If Required, Foreign Filing License Granted 06/12/2000****Title**

Network content management

**ENTERED****Preliminary Class**

707

JUN 15 2000

STATUS DB-LA